

- (1) Rows 1-10 relate to ten UK Patent Office Patent Files upon which the ten identified Registers (**column A**) state that [only a Form 21/77 was filed](#) (i.e. NO DOC FILED - **column N**).
- (2) Each of the ten UK Patent Office Registers states that an “assignment” took place on the date presented in **column N**. If the dates in **Columns J/N & Q** (next page) are more than 6 months apart, [s.68](#) applies.
- (3) **Columns D to I** indicate whether documentary evidence of a dated assignment or dated agreement has been filed by the US, Canadian or European Patent Office and, if so, whether the assignment/agreement was national, international or [global](#). (Since the mid-1990s, [the executive](#) of the UK Patent Office has been establishing ways to prevent [anyone interested](#) from getting and using [such documents](#) against it).
- (4) Summary **column J** indicates whether there is documentary evidence of a dated assignment or dated agreement filed on an overseas register for a patent corresponding with the UK Patent (**column A**).
- (5) **Column K** indicates whether the dated assignment/agreement cites the EU/GB Patent (such [global](#) docs voiding any later „assignment“ of the EU/GB Patent, absent registrable evidence of an [assignment back](#)).
- (6) **Column L** indicates whether the evidence on file overseas – **citing the EU/GB Patent as being affected also** - complies with [s.30](#) to [s.33](#) Patents Act 1977 (PA1977).
- (7) Summary **column M** indicates the dates of National Patent Assignments filed in the US & CA. There are just two cases (1M & 4M). Each Form 21/77 (1 & 4) would have to be signed in sight of a UK assignment.
- (8) [Anyone interested](#) in the validity or otherwise of the UK Registration of an “assignment dated ...” [based only on a filed Form 21/77](#) may compare the dates in **columns J and M** with the dates in **column N** to establish whether *the Comptroller’s officers* in the UK Patent Office **have recorded “assignment” dates at odds with those of corresponding national, international and global documents filed overseas.**
- (9) Each date in **column N** is coloured black or red – depending on whether (black) or not (red) the relevant overseas-filed document (whether national, international or global) bears a corresponding transaction date.
- (10) Of the **five** **column N** entries which do present a date corresponding with the transaction date in **column J** or **column M**, **four** correspond with the date of a filed document **which is not a valid assignment**.
- (11) With only one of the [ten Form 21/77-only-based registrations](#) *possibly* being correct (1M=1N), [the observer](#) should question (next page) **who** signed [part 7 of the Form 21/77](#) and **for what**, if any, [legal purpose](#).

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
	EU / GB PATENT NUMBER	PATENT CEASED DATE	PATENT PRIORITY DATE	USPTO GLOBAL DOCS	USPTO NATIONAL DOCS	CANADA GLOBAL DOCS	CANADA NATIONAL DOCS	EPO FILED DOCS	EPO INTER-NATIONAL DOCS	GLOBAL/INT. DOCUMENTS DATE	CITES UK PATENT ?	s.30 - s.33 COMPLIANT ?	US/CA NATIONAL DOCUMENTS DATE	UK s.33 REGD. ASSIGNMENT DATE
			PRACTICE							PRACTICE		s.32 Case Law		s.33 Case Law
1	EP0420401	31.07.2005	31.07.1989		01.09.2004 ASSIGNMENT		01.09.2004 ASSIGNMENT	NOT AVAILABLE	NO DOC	NO GLOBAL /INT TRANSACTION	N/A	N/A	01.09.2004 01.09.2004	01.09.2004 NO DOC FILED
2	EP0626146	08.05.2014	09.05.1994	09.03.2004 AGREEMENT		09.03.2004 AGREEMENT		NOT AVAILABLE	NO DOC	09.03.2004 AGREEMENT	YES	NO		09.03.2004 NO DOC FILED
3	EP0972296	01.04.2008	02.04.1997	17.12.2003 AGREEMENT				UP TO 28.05.2004	NO DOC	17.12.2003 AGREEMENT	YES	NO		17.12.2003 NO DOC FILED
4	EP0796147	07.12.2013	08.12.1994		19.12.2003 ASSIGNMENT		18.12.2003 ASSIGNMENT	UP TO 30.05.2000	NO DOC	NO GLOBAL /INT TRANSACTION	N/A	N/A	19.12.2003 18.12.2003	14.07.2003 NO DOC FILED
5	EP0801246	22.06.2006	20.06.1991	24.04.2002 ASSIGNMENT			24.04.2002 ASSIGNMENT	UP TO 28.08.2002	NO DOC	24.04.2002 ASSIGNMENT	YES	YES		14.04.2004 NO DOC FILED
6	EP0839555	22.07.2009	31.10.1996	01.08.2001 ASSIGNMENT	01.08.2001 ASSIGNMENT			UP TO 10.01.2005	17.02.2004	01.08.2001 ASSIGNMENT	YES	YES		03.08.2004 NO DOC FILED
7	EP1222431	03.10.2008	07.10.1999	25.08.2004 CONFIRMATION				UP TO 27.07.2005	NO DOC	25.08.2004 CONFIRMATION	YES	NO		25.08.2004 NO DOC FILED
8	GB2267412	23.06.2008	21.12.1990	VOID 15.09.03 AGREEMENT				UP TO 04.11.2005	VOID 15.09.03 AGREEMENT	VOID 15.09.03 AGREEMENT	YES	NO		15.09.2004 NO DOC FILED
9	GB2376562	14.07.2017	14.06.2001	19.08.2004 AGREEMENT		19.08.2004 AGREEMENT		UP TO 10.01.2011	19.08.2004 AGREEMENT	19.08.2004 AGREEMENT	YES	NO		19.08.2004 NO DOC FILED
10	GB2391985	DUE 2021	30.03.2001	30.06.2003 ASSIGNMENTS				NO EP REGISTER	NO DOC	30.06.2003 ASSIGNMENTS	YES	YES		30.03.2004 NO DOC FILED

(12) Column P indicates when the UK Patent Office received an “Application under Section 32”. In each case, the date was entered on the Register (O) on the date upon which the application was “booked in”.

(13) Column Q indicates the date upon which registration was completed on the basis of a Form 21/77 purportedly signed in accordance with rule 46(2)(a) Patents Rules 1995 in its original or amended form.

(14) Column R indicates the earlier effective date of a change to the Address for Service (AFS): **THE ISSUE:**

- The executive of the UK Patent Office passes off the AFS as being a person (not a mere address) with power of attorney to sign instruments within s.33 PA1977 and to create secondary evidence.

(15) The observer should question who signed part 7 of the Form 21/77 and for what, if any, legal purpose. Forms 21/77 were rarely signed by or on behalf of the person held out by *the executive* to have done so.

(16) Forms 21/77 identifying overseas companies as the registered proprietor could not be legally-effectively-signed under any Act or rule other than by duly authorised executives, duly authorised insolvency practitioners or duly-appointed Agents. A person within the class of any “*other person providing the address for service*” [Registered Designs Rules 1995 r.8(3) refers] who provides a necessary Address for Service in the UK cannot lawfully be deemed to have authority to sign Forms 21/77 under any Act or rule simply because such a provider’s name has been recorded on the Register together with a UK address.

(17) If there was a change to the Address for Service (date in column R) **AFTER** the date in column N, it follows that even *the executive’s* misrepresentation of the Address for Service as a person – and one duly authorised to sign (and create secondary evidence of) instruments within s.33 PA1977 – must fail to impress that every “Form 21/77 filed on ...” was signed for the purpose of rule 46(2)(a) Patents Rules 1995.

J	K	L	M	N
GLOBAL/INT. DOCUMENTS DATE	CITES UK PATENT ?	s.30 - s.33 COMPLIANT ?	US/CA NATIONAL DOCUMENTS DATE	UK s.33 REGD. ASSIGNMENT DATE
<u>PRACTICE</u>		<u>s.32 Case Law</u>		<u>s.33 Case Law</u>
NO GLOBAL /INT TRANSACTION	N/A	N/A	01.09.2004 01.09.2004	01.09.2004 <u>NO DOC FILED</u>
09.03.2004 AGREEMENT	<u>YES</u>	NO		09.03.2004 <u>NO DOC FILED</u>
17.12.2003 AGREEMENT	<u>YES</u>	NO		17.12.2003 <u>NO DOC FILED</u>
NO GLOBAL /INT TRANSACTION	N/A	N/A	19.12.2003 18.12.2003	14.07.2003 <u>NO DOC FILED</u>
24.04.2002 ASSIGNMENT	<u>YES</u>	YES		14.04.2004 <u>NO DOC FILED</u>
01.08.2001 ASSIGNMENT	<u>YES</u>	YES		03.08.2004 <u>NO DOC FILED</u>
25.08.2004 CONFIRMATION	<u>YES</u>	NO		25.08.2004 <u>NO DOC FILED</u>
VOID 15.09.03 AGREEMENT	<u>YES</u>	NO		15.09.2004 <u>NO DOC FILED</u>
19.08.2004 AGREEMENT	<u>YES</u>	NO		19.08.2004 <u>NO DOC FILED</u>
30.06.2003 ASSIGNMENTS	<u>YES</u>	YES		30.03.2004 <u>NO DOC FILED</u>

	O	P	Q	R	S	T	U
	EU / GB PATENT NUMBER	UK s.32 APP RECEIVED DATE	UK REGISTRATION UNDER s.33	REG/CHANGE OF ADDRESS FOR SERVICE	FORM 51/77 FILED	AUTHORITY TO SIGN FORM 21 ON BEHALF ...	AWARENESS OF NO AUTHORITY
			<u>"difficulties"</u>	<u>RULE 30</u>	<u>RULE 90</u>	<u>RULE 46(2)(a)</u>	<u>PRACTICE</u>
1	<u>EP0420401</u>	13.09.2004	22.09.2004	29.04.1994	No		
2	<u>EP0626146</u>	13.09.2004	22.09.2004	04.11.1996	No		
3	<u>EP0972296</u>	10.09.2004	21.09.2004	19.06.2003	No		
4	<u>EP0796147</u>	07.09.2004	20.09.2004	27.05.2004	<u>25.06.1999</u>		
5	<u>EP0801246</u>	14.09.2004	17.09.2004	16.01.2002	No		
6	<u>EP0839555</u>	03.09.2004	16.09.2004	12.02.2004	No		
7	<u>EP1222431</u>	13.09.2004	22.09.2004	13.09.2004	No		
8	<u>GB2267412</u>	09.09.2004	20.09.2004	<u>29.12.2003</u>	<u>26.10.2006</u>	<u>No</u>	<u>Yes</u>
9	<u>GB2376562</u>	09.09.2004	21.09.2004	20.09.2004	No		
10	<u>GB2391985</u>	15.09.2004	21-22.09.2004	05.04.2004	No		